

**Fair Political Practices Commission**  
**Filing Schedule for**  
**Committees Primarily Formed to Support/Oppose Candidates for State Office**  
**June 7, 2016 Ballot**

<b>Deadline</b>	<b>Period</b>	<b>Form</b>	<b>Notes</b>
<b>Feb 1, 2016</b> <i>Semi-Annual</i>	<b>* – 12/31/15</b>	<a href="#">460</a>	<ul style="list-style-type: none"> <li>All committees must file this report.</li> <li>The January 31 deadline falls on a Sunday, so the deadline is extended to the next business day.</li> </ul>
<b>Within 3 Days</b> <i>Top Ten Contributor List</i>	<b>Ongoing</b>	<a href="#">T-10</a>	<ul style="list-style-type: none"> <li>Committees primarily formed to support or oppose a state candidate that raise \$1,000,000 or more and have received contributions of \$10,000 or more from a single source must file a list of their top ten contributors on Form T-10 with the FPPC within three business days or within 24 hours if meeting the threshold within 16 days before the election.</li> </ul>
<b>Within 10 Days</b> <i>Independent Expenditure Verification</i>	<b>Ongoing</b>	<a href="#">462</a>	<ul style="list-style-type: none"> <li>Committees making independent expenditures must file this form with the FPPC by e-mail only. No paper copy is required.</li> <li>Committees file only one Form 462 per election for each candidate or measure supported or opposed by an independent expenditure.</li> </ul>
<b>Within 24 Hours</b> <i>Election Cycle Reports</i>	<b>3/9/16 – **</b> <i>See notes</i>	496 497	<ul style="list-style-type: none"> <li>E-file only – no paper copy.</li> <li><b>496:</b> File if an independent expenditure of \$1,000 or more is made in connection with a candidate or ballot measure being voted on the June 7 ballot.</li> <li><b>497:</b> File if a contribution of \$1,000 or more in the aggregate is received from a single source.</li> <li><b>497:</b> File if a contribution of \$1,000 or more in the aggregate is made in connection with a candidate or ballot measure being voted on the June 7 ballot or to a political party committee.</li> <li>The recipient of an in-kind contribution must file a Form 497 within 48 hours from the time the in-kind or non-monetary contribution is received.</li> </ul>
<b>Mar 22, 2016</b> <i>Pre-Election</i>	<b>1/1/16 – 3/17/16</b>	<a href="#">460</a> <a href="#">465</a>	<ul style="list-style-type: none"> <li><b>460:</b> All committees must file this report.</li> <li><b>465:</b> File if independent expenditures totaling \$1,000 or more were made.</li> </ul>
<b>May 26, 2016</b> <i>Pre-Election</i>	<b>3/18/16 – 5/21/16</b>	<a href="#">460</a> <a href="#">465</a>	<ul style="list-style-type: none"> <li><b>460:</b> All committees must file this report.</li> <li><b>465:</b> File if independent expenditures totaling \$1,000 or more were made.</li> <li>Paper copies must be filed by personal delivery or guaranteed overnight service only.</li> </ul>
<b>Aug 1, 2016</b> <i>Semi-Annual</i>	<b>5/22/16 – 6/30/16</b>	<a href="#">460</a> <a href="#">465</a>	<ul style="list-style-type: none"> <li><b>460:</b> All committees must file this report.</li> <li><b>465:</b> File if independent expenditures totaling \$1,000 or more were made from May 22 through June 6.</li> <li>The July 31 deadline falls on a Sunday, so the deadline is extended to the next business day.</li> </ul>

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### Additional Reports

- **E-Filers only:** Payments of \$5,000 or more in connection with a state ballot measure will require filing the 496/497 within 10 business days. Payments may change the nature of a primarily formed candidate committee.
- **Form 511:** File within 10 days of making either of the following expenditures related to an advertisement to support or oppose a ballot measure: 1) A payment totaling \$5,000 or more to an individual to appear in an advertisement, or 2) A payment of any amount to an individual portraying a member of a licensed occupation (i.e., nurse, doctor, firefighter). E-filers also file online.
- **Form E-530:** Committees that make a payment of \$50,000 or more during the 45 days prior to an election for a communication that identifies a state candidate being voted upon in the election but does not expressly advocate the election or defeat of the candidate file within 48 hours. No paper copy is required.

- **\* Period Covered:** The period covered by any statement begins on the day after the closing date of the last statement filed, or January 1 if no previous statement has been filed.
- **\*\* 24-Hour Reports:**
  - Form 496 filed in connection with a state candidate/measure must be filed through the date of the election.
  - Form 496 filed in connection with a local election must be filed through the day before the election.
  - Form 497 filed in connection with contributions received or made to candidates/measures and political parties must be filed through the day before the election.
- **E-File:** A state committee that has received contributions or made expenditures totaling \$25,000 or more.
- **Form 460:** All state committees, including e-filers, must also file paper reports.
- **Paper Filings:** All paper filings may be filed by first class mail unless otherwise noted.
- **Forms 496/497:** All reports filed online only.
- **Where to File:** State committees file reports with the Secretary of State.
- **Filing Deadlines:** Deadlines are extended when they fall on a Saturday, Sunday, or an official state holiday. This extension does not apply to any 24-hour contribution reports (Form 497) that are due the weekend before the election. Such reports must be filed within 24 hours regardless of the day of the week and are subject to a \$10 per day late fine.
- **Penalties:** Failure to file a statement on time subjects a committee to a fine of \$10 per day on both the paper and the e-filed version (e.g., \$20 per day for a late e-filed Form 460). Failure to file a statement could result in an enforcement penalty of \$5,000 per violation.

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- **Contribution Limits:** Contributions to state candidates and to committees that make contributions to state candidates are subject to limits. Refer to the [contribution limits chart](#) on the FPPC website. Committees making “issue ads” featuring state candidates are also subject to contribution limits.
- **Public Documents:** All statements are public documents. Campaign manuals and instructional materials are available at [www.fppc.ca.gov](http://www.fppc.ca.gov), click on the [Campaign](#) link.
- **Multi-Purpose Organizations (including non-profits):** Effective July 1, 2014, multi-purpose organizations making political contributions or expenditures are subject to new campaign disclosure rules. In general, an organization that uses its general dues account to make contributions or expenditures may qualify as a major donor or independent expenditure committee and report payments on Campaign Form 461. Such an organization will qualify as a recipient committee if expenditures exceed \$50,000 in a 12-month period or \$100,000 in four consecutive calendar years. An organization that qualifies as a recipient committee may need to file reports disclosing contributors. Some organizations will not need to file the year-end semi-annual report. See the FPPC [Campaign Manual 4—Chapter 15](#) for instructions.
- As of July, 2015, legislation is pending that may change the filing schedule.